



Corres. and Mail
BOX AF

Response Under 37 C.F.R. §1.116
Expedited Procedure
Group Art Unit: 1722

PATENT
Attorney Docket No.: 040894-5642

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|---------------------------------|---|-------------------------|
| In re Application of: |) | |
| |) | |
| Noriyoshi SHIDA et al. |) | Confirmation No.: 6917 |
| |) | |
| Application No.: 09/810,228 |) | Group Art Unit: 1722 |
| |) | |
| Filed: March 19, 2001 |) | Examiner: D. Heckenberg |
| |) | |
| For: MOLD FOR INJECTION MOLDING |) | Mail Stop AF |
| OF DISC SUBSTRATE |) | |

Commissioner for Patents
U.S. Patent and Trademark Office
2011 South Clark Place
Customer Window, **Mail Stop AF**
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

Sir:

AMENDMENT TRANSMITTAL FORM

1. Transmitted herewith is an Amendment responding to the Final Office Action dated September 14, 2004.

2. Additional papers enclosed:

- ☐ Drawings: ☐ Formal ☐ Informal (Correction)
- ☐ Information Disclosure Statement
- ☐ Form PTO-1449, _____ references included
- ☐ Citations
- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.

3. Extension of Time

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136(a) apply.

☒ Applicants believe that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicants have inadvertently overlooked the need for a petition and fee for extension of time.

☐ Applicants petition for an extension of time, the fees for which are set out in 37 C.F.R. § 1.17(a), for the total number of months checked below:

| <u>Total Months Requested</u> | <u>Fee for Extension</u> | <u>[Fee for Small Entity]</u> |
|---------------------------------------|--------------------------|-------------------------------|
| <input type="checkbox"/> one month | \$ 110.00 | \$ 55.00 |
| <input type="checkbox"/> two months | \$ 430.00 | \$ 215.00 |
| <input type="checkbox"/> three months | \$ 980.00 | \$ 490.00 |
| <input type="checkbox"/> four months | \$ 1,530.00 | \$ 765.00 |

Extension of time fee due with this request: **\$ 0.00.**

If an additional extension of time is required, please consider this a Petition therefor.

☐ An extension for ____ months has already been secured and the fee paid therefor of \$____ is deducted from the total fee due for the total months of extension now requested.

4. Constructive Petition

☒ EXCEPT for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§ 1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account 50-0310. This paragraph is intended to be a CONSTRUCTIVE PETITION FOR EXTENSION OF TIME in accordance with 37 C.F.R. § 1.136(a)(3).

5. Fee Calculation (37 C.F.R. §1.16)

| CLAIMS AS AMENDED | | | | | | |
|---|---|-------|--------------------------------|------------------|--------------|------------|
| | Claims Remaining After Amendment | | Highest No. Previously Paid | Present Extra | at Rate of | Total Fees |
| Total Claims (37 C.F.R. §1.16(c)) | 3 | minus | 20 | 0 | x \$18 each= | + \$ 0.00 |
| Independent Claims (37 C.F.R. §1.16(b)) | 3 | minus | 5 | 0 | x \$88 each= | + \$ 0.00 |
| [] First presentation of Multiple dependent claim(s) | | | | | \$300.00 | + \$ 0.00 |
| SUB-TOTAL = | | | | | | \$ 0.00 |
| Reduction by ½ for filing by a small entity | | | | | | - \$ 0.00 |
| TOTAL FEE = | | | | | | \$ 0.00 |

6. Fee Payment

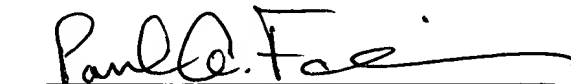
- ☒ No fee is to be paid at this time.
- ☐ The Commissioner is hereby authorized to charge Deposit Account No. 50-0310 in the amount of _____ for the ____ month extension of time fee.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, including fees due under 37 C.F.R. §§ 1.16 and 1.17, or credit any overpayment to Deposit Account 50-0310.

Respectfully submitted,

MORGAN LEWIS & BOCKIUS LLP

Dated: December 3, 2004

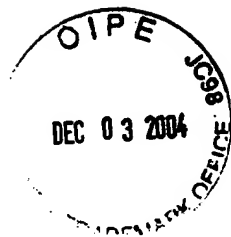
By:



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Sir:

AMENDMENT UNDER 37 C.F.R. § 1.116

In response to the Final Office Action dated September 14, 2004 (Paper No. 0904), and pursuant to 37 C.F.R. §1.116, the period for response to which extends through December 14, 2004, entry of the following amendments is respectfully requested to place the application in clear condition for allowance or, alternatively, in better form for appeal.